

CONFLICT OF INTEREST CODE FOR THE
SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS

The Political Reform Act (Cal. Government Code §81000, et seq.) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. §18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the Southern California Association of Governments (SCAG).

Individuals holding designated positions shall file their statements of economic interests with SCAG, which will make the statements available for public inspection and reproduction. (Gov. Code §81008.) Upon receipt of the statements for the General Assembly Members, delegates and alternates, Regional Council Members, Policy Committee Members, and the Executive Director, SCAG shall make and retain copies and forward the originals to the Fair Political Practices Commission. All other statements will be retained by SCAG.

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Appendix A

Designated Position	Assigned Disclosure Category
General Assembly Members and delegates, including alternates	1
Regional Council Members	1
<u>Policy Committees</u>	
Transportation Committee (TC) Members	1
Energy and Environment Committee (EEC) Members	1
Community, Economic and Human Development Committee (CEHD) Members	1
Executive Director	1
Chief Executive Director	1
Deputy Executive Director of Administration	1
Deputy Executive Director of Strategy, Policy and Public Affairs	2
General Counsel	1
Chief Counsel/ Director of Legal Services	1
Chief Information Officer	3, 4
Deputy Legal Counsel	1
Director of Transportation Planning	1
Director of Land Use and Environmental Planning	1
Clerk of the Board	3
Internal Auditor	6, 7
Manager of Transportation	3
Manager of Goods Movement and Transportation Finance	3
Manager of IT Application Development	3
Manager of Legislation	3
Manager of Transportation/Rail	3
Manager of Compliance and Performance Monitoring	3
Manager of Modeling and Forecasting	3
Manager of Sustainability	3
Manager of Active Transportation and Special Programs	3
Manager of Budget and Grants	2
Manager of Research and Analysis	3
Manager of the Federal Transportation Improvement Program	3
Manager of Regional Services	3
Manager of Communications	3
Manager of Media and Public Affairs	3
Manager of Contracts	2
Manager of Human Resources	3, 5

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Appendix A-Continued

Consultant/New Position

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Note: The position of General Counsel is filled by an outside consultant, but acts in a staff capacity.

*Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation:

The Executive Director may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's or new position's duties and, based on that description, a statement of the extent of the disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner as this conflict of interest code. (Gov. Code Sec. 81008.)

The following positions are NOT covered by the conflict of interest code because they must file under Government Code Section 87200 and, therefore, are listed for informational purposes only:

Chief Financial Officer
Manager of Accounting

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

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**Appendix B-Disclosure
Categories**

1. A designated position in this category must report all interests in real property, as well as investments, business positions and sources of income (including receipt of gifts, loans and travel payments).
2. A designated position in this category must report investments in and income (including receipt of gifts, loans and travel payments) from individuals and entities of the type that supply equipment, materials or services to SCAG.
3. A designated position in this category must report investments in and income (including receipt of gifts, loans and travel payments) from individuals and entities of the type that supply equipment, materials or services to the designated position's division or department.
4. A designated position in this category must report all interests in real property located within the jurisdiction of SCAG and/or within a two-mile radius of any property used by SCAG.
5. A designated position in this category must report investments, business positions in business entities, and income (including receipt of gifts, loans, and travel payments) from sources that have filed a claim within the last two years or have a claim pending against SCAG.
6. A designated position in this category may file Statements of Economic Interests, Form 700-A. The statement shall identify each source of income (including receipt of gifts, loans, and travel payments), investments, or real property if the source of income, investment, or real property was the subject of a case assignment during the period covered by the statement.
7. A designated position in this category must report investments in and income, including gifts, loans and travel payments from entities of the type to provide auditing services.

This is the last page of the conflict of interest code of the **Southern California Association of Governments**.



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict of interest code for the **Southern California Association of Governments** was approved on

8/11/ 2016. This code will become effective on 9/10/ 2016.

A handwritten signature in black ink, appearing to be "B. Lau", written over a horizontal line.

Brian G. Lau

Senior Commission Counsel

Fair Political Practices Commission