



FTA Section 5337 Quarterly Balance Report
UZA 60020 Los Angeles-Long Beach-Anaheim
 Reporting Period: FFY21 3rd Quarter

*FY13 thru FY17 apportionments have been fully obligated.

FY 18 (High Intensity Fixed Guideway)

Recipient	Metro	OCTA	Metrolink (OC County)	Metrolink (SB County)	SB Unallocated Balance	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2018-056-02-00, CA-2018-096-03-00, CA-2019-128-02-00	N/A	CA-2017-009-05-03		N/A		
Apportionment	\$95,755,099	\$0	\$11,880,783	\$4,180,288	\$0	\$111,816,170	
Obligated Amount	\$98,846,719	\$0	\$2,532,249	\$2,479,652	\$0	\$103,858,620	
Total Balance Available	(\$3,091,620)	\$0	\$11,049,170		\$0	\$7,957,550	9/30/2021

FY 18 (High Intensity Motorbus)

Recipient	Metro	OCTA	Metrolink (OC County)	Metrolink (SB County)	SB Unallocated Balance	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2018-096-02	CA-2020-039-01-00	N/A		N/A		
Apportionment	\$6,076,930	\$3,226,154	\$0	\$0	\$0	\$9,303,084	
Obligated Amount	\$6,076,930	\$3,226,154	\$0	\$0	\$0	\$9,303,084	
Total Balance Available	\$0	\$0	\$0	\$0	\$0	\$0	9/30/2021

FY 19 (High Intensity Fixed Guideway)

Recipient	Metro	OCTA	Metrolink (OC County)	Metrolink (SB County)	SB Unallocated Balance	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2019-128-02-00	N/A	CA-2017-009-05-03, CA-2020-009-01-00		N/A		
Apportionment	\$94,027,293	\$0	\$11,151,773	\$3,976,017	\$0	\$109,155,083	
Obligated Amount	\$94,027,292	\$0	\$225,408	\$3,740,137	\$0	\$97,992,837	
Total Balance Available	\$1	\$0	\$11,162,245		\$0	\$11,162,246	9/30/2022

FY 19 (High Intensity Motorbus)

Recipient	Metro	OCTA	Metrolink (OC County)	Metrolink (SB County)	SB Unallocated Balance	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2020-138-01-00	CA-2020-039-01-00	N/A		N/A		
Apportionment	\$5,877,571	\$3,223,242	\$0	\$0	\$0	\$9,100,813	
Obligated Amount	\$1,692,585	\$3,223,242	\$0	\$0	\$0	\$4,915,827	
Total Balance Available	\$4,184,986	\$0	\$0	\$0	\$0	\$4,184,986	9/30/2022

FY 20 (High Intensity Fixed Guideway)

Recipient	Metro	OCTA	Metrolink (OC County)	Metrolink (SB County)	SB Unallocated Balance	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2020-138-02-00	TBD	CA-2021-017-01-00		N/A		
Apportionment	\$88,621,448	\$1,611,000	\$8,624,463	\$3,611,673	\$0	\$102,468,584	
Obligated Amount	\$88,621,447	\$0	\$5,960,625	\$643,010	\$0	\$95,225,082	
Total Balance Available	\$1	\$1,611,000	\$5,632,501		\$0	\$7,243,502	9/30/2023

FY 20 (High Intensity Motorbus)

Recipient	Metro	OCTA	Metrolink (OC County)	Metrolink (SB County)	SB Unallocated Balance	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2020-138-01-00	CA-2020-269-01-00, CA-2020-269-02-00	N/A		N/A		
Apportionment	\$5,756,815	\$2,986,120	\$0	\$0	\$0	\$8,742,935	
Obligated Amount	\$5,756,815	\$2,986,120	\$0	\$0	\$0	\$8,742,935	
Total Balance Available	\$0	\$0	\$0	\$0	\$0	\$0	9/30/2023

FY 21 (High Intensity Fixed Guideway)

Recipient	Metro	OCTA	Metrolink (OC County)	Metrolink (SB County)	SB Unallocated Balance	TOTAL	Lapsing Date
Grant Number/FAIN	TBD	TBD	TBD		N/A		
Apportionment	\$89,294,699	\$1,259,347	\$9,238,890	\$3,687,049	\$0	\$103,479,985	
Obligated Amount	\$0	\$0	\$0	\$0	\$0	\$0	
Total Balance Available	\$89,294,699	\$1,259,347	\$12,925,939		\$0	\$103,479,985	9/30/2024

FY 21 (High Intensity Motorbus)

Recipient	Metro	OCTA	Metrolink (OC County)	Metrolink (SB County)	SB Unallocated Balance	TOTAL	Lapsing Date
Grant Number/FAIN	TBD	TBD	N/A		N/A		
Apportionment	\$5,587,304	\$2,225,611	\$0	\$0	\$0	\$7,812,915	
Obligated Amount	\$0	\$0	\$0	\$0	\$0	\$0	
Total Balance Available	\$5,587,304	\$2,225,611	\$0	\$0	\$0	\$7,812,915	9/30/2024

Overall Total For All Federal Fiscal Years

	Metro	OCTA	Metrolink (OC County)	Metrolink (SB County)	SB Unallocated Balance	TOTAL
Total (FY13-21)						
Apportionment	\$791,854,326	\$23,523,228	\$88,827,108	\$32,531,464	\$0	\$936,736,127
Obligated Amount	\$703,829,322	\$21,443,250	\$69,622,371		\$0	\$794,894,943
Fund Exchange	(\$6,857,000)	\$0	\$6,857,000		\$0	\$0
Balance	\$94,882,004	\$2,079,978	\$44,879,201		\$0	\$141,841,184
Lapsed Funds	\$0	\$0	\$0		\$0	\$0
Total Balance Available	\$94,882,004	\$2,079,978	\$44,879,201		\$0	\$141,841,184



FTA Section 5337 Quarterly Balance Report
UZA 63510 Lancaster-Palmdale
 Reporting Period: FFY21 3rd Quarter

*FY13 thru FY17 apportionments have been fully obligated.

FY 18 (High Intensity Fixed Guideway)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2019-128-02-00	N/A		
Apportionment	\$8,008,062	\$0	\$8,008,062	
Obligated Amount	\$8,008,062	\$0	\$8,008,062	
Total Balance Available	\$0	\$0	\$0	9/30/2021

FY 18 (High Intensity Motorbus)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	N/A	CA-2020-049-01-00		
Apportionment	\$0	\$1,182,772	\$1,182,772	
Obligated Amount	\$0	\$934,475	\$934,475	
Total Balance Available	\$0	\$248,297	\$248,297	9/30/2021

FY 19 (High Intensity Fixed Guideway)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2019-128-02-00, CA-2020-138-02-00	N/A		
Apportionment	\$7,717,944	\$0	\$7,717,944	
Obligated Amount	\$7,717,944	\$0	\$7,717,944	
Total Balance Available	\$0	\$0	\$0	9/30/2022

FY 19 (High Intensity Motorbus)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	N/A	TBD		
Apportionment	\$0	\$1,123,286	\$1,123,286	
Obligated Amount	\$0	\$0	\$0	
Total Balance Available	\$0	\$1,123,286	\$1,123,286	9/30/2022

FY 20 (High Intensity Fixed Guideway)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2020-138-02-00	N/A		
Apportionment	\$7,123,154	\$0	\$7,123,154	
Obligated Amount	\$3,239,138	\$0	\$3,239,138	
Total Balance Available	\$3,884,016	\$0	\$3,884,016	9/30/2023

FY 20 (High Intensity Motorbus)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	N/A	TBD		
Apportionment	\$0	\$1,106,862	\$1,106,862	
Obligated Amount	\$0	\$0	\$0	
Total Balance Available	\$0	\$1,106,862	\$1,106,862	9/30/2023

FY 21 (High Intensity Fixed Guideway)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	TBD	N/A		
Apportionment	\$7,250,857	\$0	\$7,250,857	
Obligated Amount	\$0	\$0	\$0	
Total Balance Available	\$7,250,857	\$0	\$7,250,857	9/30/2024

FY 21 (High Intensity Motorbus)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	N/A	TBD		
Apportionment	\$0	\$1,089,535	\$1,089,535	
Obligated Amount	\$0	\$0	\$0	
Total Balance Available	\$0	\$1,089,535	\$1,089,535	9/30/2024

Overall Total For All Federal Fiscal Years

Total (FY13-21)	Metro	AVTA	TOTAL
Apportionment	\$61,864,515	\$6,267,909	\$68,132,424
Obligated Amount	\$50,729,642	\$2,699,929	\$53,429,571
Balance	\$11,134,873	\$3,567,980	\$14,702,853
Lapsed Funds	\$0	\$0	\$0
Total Balance Available	\$11,134,873	\$3,567,980	\$14,702,853



FTA Section 5337 Quarterly Balance Report
UZA 60420 Riverside-San Bernardino
 Reporting Period: FFY21 3rd Quarter

*FY13 thru FY17 apportionments have been fully obligated.

FY 18 (High Intensity Fixed Guideway)

Recipient	RCTC	SB Unallocated Balance	Metrolink (SB County)	Metrolink (OC County)	OCTA	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2020-261-03-00, CA-2020-261-01-00, CA-2020-261-02-00	N/A	CA-2017-009-04-02, CA-2017-009-05-03, CA-2020-009-01-00		N/A		
Apportionment	\$8,193,160	\$0	\$6,249,941	\$0	\$0	\$14,443,101	
Obligated Amount	\$7,700,562	\$0	\$6,742,539	\$0	\$0	\$14,443,101	
Total Balance Available	\$492,598	\$0	(\$492,598)	\$0	\$0	\$0	9/30/2021

FY 18 (High Intensity Motorbus)

Recipient	RCTC	SB Unallocated Balance	Metrolink (SB County)	Metrolink (OC County)	OCTA	TOTAL	Lapsing Date
Grant Number/FAIN	N/A	N/A	N/A		CA-2020-039-01-00		
Apportionment	\$0	\$0	\$0	\$0	\$495,687	\$495,687	
Obligated Amount	\$0	\$0	\$0	\$0	\$495,687	\$495,687	
Total Balance Available	\$0	\$0	\$0	\$0	\$0	\$0	9/30/2021

FY 19 (High Intensity Fixed Guideway)

Recipient	RCTC	SB Unallocated Balance	Metrolink (SB County)	Metrolink (OC County)	OCTA	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2020-261-03-00, CA-2020-261-04-00	N/A	CA-2020-009-01-00, CA-2021-027-01-00		N/A		
Apportionment	\$7,429,708	\$0	\$6,335,165	\$0	\$0	\$13,764,873	
Obligated Amount	\$11,001,188	\$0	\$2,763,685	\$0	\$0	\$13,764,873	
Total Balance Available	(\$3,571,480)	\$0	\$3,571,480	\$0	\$0	\$0	9/30/2022

FY 19 (High Intensity Motorbus)

Recipient	RCTC	SB Unallocated Balance	Metrolink (SB County)	Metrolink (OC County)	OCTA	TOTAL	Lapsing Date
Grant Number/FAIN	N/A	N/A	N/A		CA-2020-039-01-00		
Apportionment	\$0	\$0	\$0	\$0	\$483,389	\$483,389	
Obligated Amount	\$0	\$0	\$0	\$0	\$483,389	\$483,389	
Total Balance Available	\$0	\$0	\$0	\$0	\$0	\$0	9/30/2022

FY 20 (High Intensity Fixed Guideway)

Recipient	RCTC	SB Unallocated Balance	Metrolink (SB County)	Metrolink (OC County)	OCTA	TOTAL	Lapsing Date
Grant Number/FAIN	TBD	N/A	CA-2021-027-01-00		N/A		
Apportionment	\$7,284,116	\$0	\$5,551,675	\$0	\$0	\$12,835,791	
Obligated Amount	\$0	\$0	\$128,907	\$0	\$0	\$128,907	
Total Balance Available	\$7,284,116	\$0	\$5,422,768	\$0	\$0	\$12,706,884	9/30/2023

FY 20 (High Intensity Motorbus)

Recipient	RCTC	SB Unallocated Balance	Metrolink (SB County)	Metrolink (OC County)	OCTA	TOTAL	Lapsing Date
Grant Number/FAIN	N/A	N/A	N/A		CA-2020-269-01-00		
Apportionment	\$0	\$0	\$0	\$0	\$445,068	\$445,068	
Obligated Amount	\$0	\$0	\$0	\$0	\$445,068	\$445,068	
Total Balance Available	\$0	\$0	\$0	\$0	\$0	\$0	9/30/2023

FY 21 (High Intensity Fixed Guideway)

Recipient	RCTC	SB Unallocated Balance	Metrolink (SB County)	Metrolink (OC County)	OCTA	TOTAL	Lapsing Date
Grant Number/FAIN	TBD	N/A	TBD		N/A		
Apportionment	\$7,380,593	\$0	\$5,680,411	\$0	\$0	\$13,061,004	
Obligated Amount	\$0	\$0	\$0	\$0	\$0	\$0	
Total Balance Available	\$7,380,593	\$0	\$5,680,411	\$0	\$0	\$13,061,004	9/30/2024

FY 21 (High Intensity Motorbus)

Recipient	RCTC	SB Unallocated Balance	Metrolink (SB County)	Metrolink (OC County)	OCTA	TOTAL	Lapsing Date
Grant Number/FAIN	N/A	N/A	N/A		N/A		
Apportionment	\$0	\$0	\$0	\$0	\$433,151	\$433,151	
Obligated Amount	\$0	\$0	\$0	\$0	\$0	\$0	
Total Balance Available	\$0	\$0	\$0	\$0	\$433,151	\$433,151	9/30/2024

Overall Total For All Federal Fiscal Years

	RCTC	SB Unallocated Balance	Metrolink (SB County)	Metrolink (OC County)	OCTA	TOTAL
Total (FY13-21)						
Apportionment	\$61,815,360	\$0	\$48,942,880	\$320,526	\$2,969,008	\$114,047,774
Obligated Amount	\$47,150,651	\$0	\$38,160,227	\$0	\$2,535,857	\$87,846,735
Balance	\$14,664,709	\$0	\$11,103,179	\$0	\$433,151	\$26,201,039
Lapsed Funds	\$0	\$0	\$0	\$0	\$0	\$0
Total Balance Available	\$14,664,709	\$0	\$11,103,179	\$0	\$433,151	\$26,201,039



FTA Section 5337 Quarterly Balance Report
UZA 61770 Santa Clarita
 Reporting Period: FFY21 3rd Quarter

*FY13 thru FY19 apportionments have been fully obligated.

FY 18 (High Intensity Fixed Guideway)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2019-128-02-00	N/A		
Apportionment	\$1,401,222	\$0	\$1,401,222	
Obligated Amount	\$1,401,222	\$0	\$1,401,222	
Total Balance Available	\$0	\$0	\$0	9/30/2021

FY 18 (High Intensity Motorbus)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	N/A	CA-2019-097-01-00, CA-2020-049-01-00		
Apportionment	\$0	\$1,022,060	\$1,022,060	
Obligated Amount	\$0	\$1,022,060	\$1,022,060	
Total Balance Available	\$0	\$0	\$0	9/30/2021

FY 19 (High Intensity Fixed Guideway)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2020-138-02-00	N/A		
Apportionment	\$1,340,063	\$0	\$1,340,063	
Obligated Amount	\$1,340,063	\$0	\$1,340,063	
Total Balance Available	\$0	\$0	\$0	9/30/2022

FY 19 (High Intensity Motorbus)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	N/A	CA-2020-049-01-00		
Apportionment	\$0	\$978,763	\$978,763	
Obligated Amount	\$0	\$978,763	\$978,763	
Total Balance Available	\$0	\$0	\$0	9/30/2022

FY 20 (High Intensity Fixed Guideway)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	CA-2020-138-02-00	N/A		
Apportionment	\$1,232,889	\$0	\$1,232,889	
Obligated Amount	\$1,232,889	\$0	\$1,232,889	
Total Balance Available	\$0	\$0	\$0	9/30/2023

FY 20 (High Intensity Motorbus)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	N/A	TBD		
Apportionment	\$0	\$921,248	\$921,248	
Obligated Amount	\$0	\$0	\$0	
Total Balance Available	\$0	\$921,248	\$921,248	9/30/2023

FY 21 (High Intensity Fixed Guideway)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	TBD	N/A		
Apportionment	\$1,250,779	\$0	\$1,250,779	
Obligated Amount	\$0	\$0	\$0	
Total Balance Available	\$1,250,779	\$0	\$1,250,779	9/30/2024

FY 21 (High Intensity Motorbus)

Recipient	Metro	AVTA	TOTAL	Lapsing Date
Grant Number/FAIN	N/A	TBD		
Apportionment	\$0	\$922,376	\$922,376	
Obligated Amount	\$0	\$0	\$0	
Total Balance Available	\$0	\$922,376	\$922,376	9/30/2024

Overall Total For All Federal Fiscal Years

	Metro	AVTA	TOTAL
Total (FY13-21)			
Apportionment	\$11,714,070	\$5,507,210	\$17,221,280
Obligated Amount	\$10,463,291	\$3,663,586	\$14,126,877
Balance	\$1,250,779	\$1,843,624	\$3,094,403
Lapsed Funds	\$0	\$0	\$0
Total Balance Available	\$1,250,779	\$1,843,624	\$3,094,403