Form (Rev. January 2005)
Department of the Treasury

Request for Taxpayer Identification Number and Certification

Give form to the requester. Do not send to the IRS.

ge 2.	Nan	ne (as shown on your income tax return)						
on page	Bus	iness name, if different from above						
Specific Instructions	Che	ck appropriate box: Individual/ Sole proprietor Corporation Partnership Ot		Exempt from backup withholding				
Print o	Add	lress (number, street, and apt. or suite no.)	's name and	address (option	onal)			
pecific	City	, state, and ZIP code						
See S	List	account number(s) here (optional)						
Part	П	Taxpayer Identification Number (TIN)						
oacku alien, s	Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident lilen, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see How to get a TIN on page 3.							
Note. to ente		account is in more than one name, see the chart on page 4 for guidelines on wi	hose number	Employer +	identification	number		
Part	1	Certification						
Jnder	pena	alties of perjury, I certify that:						
1. Th	e nur	mber shown on this form is my correct taxpayer identification number (or I am wa	aiting for a num	ber to be i	issued to me), and		
Re	. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and							
3. I am a U.S. person (including a U.S. resident alien).								
withho For mo arrang	olding ortga jemer	in instructions. You must cross out item 2 above if you have been notified by the because you have failed to report all interest and dividends on your tax return. I ge interest paid, acquisition or abandonment of secured property, cancellation of tt (IRA), and generally, payments other than interest and dividends, you are not rur correct TIN. (See the instructions on page 4.)	For real estate t f debt, contribu	transaction tions to an	ns, item 2 doe i individual re	es not a tiremer	it	
Sign Here		Signature of U.S. person ▶	Date ▶					

Purpose of Form

A person who is required to file an information return with the IRS, must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

- **U.S. person.** Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:
- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

For federal tax purposes you are considered a person if you are:

- An individual who is a citizen or resident of the United States,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States, or

• Any estate (other than a foreign estate) or trust. See Regulations sections 301.7701-6(a) and 7(a) for additional information.

Foreign person. If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the recipient has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

- 1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
- 2. The treaty article addressing the income.
- 3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.

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4. The type and amount of income that qualifies for the exemption from tax.

5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 28% of such payments (after December 31, 2002). This is called "backup withholding." Payments that may be subject to backup withholding include interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

- 1. You do not furnish your TIN to the requester, or
- 2. You do not certify your TIN when required (see the Part II instructions on page 4 for details), or
- 3. The IRS tells the requester that you furnished an incorrect TIN, or
- 4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
- 5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate Instructions for the Requester of Form W-9.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Name

If you are an individual, you must generally enter the name shown on your social security card. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

Sole proprietor. Enter your individual name as shown on your social security card on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name" line.

Limited liability company (LLC). If you are a single-member LLC (including a foreign LLC with a domestic owner) that is disregarded as an entity separate from its owner under Treasury regulations section 301.7701-3, enter the owner's name on the "Name" line. Enter the LLC's name on the "Business name" line. Check the appropriate box for your filing status (sole proprietor, corporation, etc.), then check the box for "Other" and enter "LLC" in the space provided.

Other entities. Enter your business name as shown on required Federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name" line.

Note. You are requested to check the appropriate box for your status (individual/sole proprietor, corporation, etc.).

Exempt From Backup Withholding

If you are exempt, enter your name as described above and check the appropriate box for your status, then check the "Exempt from backup withholding" box in the line following the business name, sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

Note. If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

Exempt payees. Backup withholding is not required on any payments made to the following payees:

- 1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2),
- 2. The United States or any of its agencies or instrumentalities,
- 3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities,
- 4. A foreign government or any of its political subdivisions, agencies, or instrumentalities, or
- 5. An international organization or any of its agencies or instrumentalities.

Other payees that may be exempt from backup withholding include:

6. A corporation,

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- 7. A foreign central bank of issue,
- 8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States.
- 9. A futures commission merchant registered with the Commodity Futures Trading Commission,
 - 10. A real estate investment trust,
- 11. An entity registered at all times during the tax year under the Investment Company Act of 1940,
- 12. A common trust fund operated by a bank under section 584(a),
 - 13. A financial institution.
- 14. A middleman known in the investment community as a nominee or custodian, or
- 15. A trust exempt from tax under section 664 or described in section 4947.

The chart below shows types of payments that may be exempt from backup withholding. The chart applies to the exempt recipients listed above, 1 through 15.

IF the payment is for	THEN the payment is exempt for				
Interest and dividend payments	All exempt recipients except for 9				
Broker transactions	Exempt recipients 1 through 13. Also, a person registered under the Investment Advisers Act of 1940 who regularly acts as a broker				
Barter exchange transactions and patronage dividends	Exempt recipients 1 through 5				
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt recipients 1 through 7 ²				

¹See Form 1099-MISC, Miscellaneous Income, and its instructions.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-owner LLC that is disregarded as an entity separate from its owner (see *Limited liability company (LLC)* on page 2), enter your SSN (or EIN, if you have one). If the LLC is a corporation, partnership, etc., enter the entity's FIN

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at www.socialsecurity.gov/online/ss-5.pdf. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses/ and clicking on Employer ID Numbers under Related Topics. You can get Forms W-7 and SS-4 from the IRS by visiting www.irs.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Writing "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

However, the following payments made to a corporation (including gross proceeds paid to an attorney under section 6045(f), even if the attorney is a corporation) and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees; and payments for services paid by a Federal executive agency.

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Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, and 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). Exempt recipients, see *Exempt From Backup Withholding* on page 2.

Signature requirements. Complete the certification as indicated in 1 through 5 below.

- 1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.
- 2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.
- **3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.
- **4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).
- 5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

-	
For this type of account:	Give name and SSN of:
1. Individual	The individual
Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Custodian account of a minor	The minor ²
(Uniform Gift to Minors Act) 4. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee ¹
 b. So-called trust account that is not a legal or valid trust under state law 	The actual owner ¹
5. Sole proprietorship or single-owner LLC	The owner ³
For this type of account:	Give name and EIN of:
Sole proprietorship or single-owner LLC	The owner ³
7. A valid trust, estate, or pension trust	Legal entity ⁴
Corporate or LLC electing corporate status on Form 8832	The corporation
Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
10. Partnership or multi-member LLC	The partnership
11. A broker or registered nominee	The broker or nominee
12. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity

List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons who must file information returns with the IRS to report interest, dividends, and certain other income paid to you, mortgage interest you paid, the acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA, or Archer MSA or HSA. The IRS uses the numbers for identification purposes and to help verify the accuracy of your tax return. The IRS may also provide this information to the Department of Justice for civil and criminal litigation, and to cities, states, and the District of Columbia to carry out their tax laws. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism.

You must provide your TIN whether or not you are required to file a tax return. Payers must generally withhold 28% of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to a payer. Certain penalties may also apply.

²Circle the minor's name and furnish the minor's SSN.

³You must show your individual name and you may also enter your business or "DBA" name on the second name line. You may use either your SSN or EIN (if you have one). If you are a sole proprietor, IRS encourages you to use your SSN.

⁴ List first and circle the name of the legal trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.)

ASSOCIATION OF GOVERNMENTS

Main Office

818 West Seventh Street

12th Floor

Los Angeles, California

90017-3435

Phone (213) 236-1800

Fax (213) 236-1825

www.scag.ca.gov

SECTION 1.	GENERAL CONTACT INFORMATIO	N
Primary Contact		
Title		
Telephone No.	Fax No.	
E-mail Address		
Company Website	Address	
SECTION 2.	REMITTANCE ADDRESS (IF DIFFER	RENT FROM FORM W-9)
Company Name		
Address		
City	State	Zip Code
Telephone No.	Fax No.	
SECTION 3	BIDDERS' LIST INFORMATION (RE	QUIRED)
Is your firm a Disa Business Enterprise	υ 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
	le 49 Part 26.11 of the Code of Federal Regulation her your firm is a DBE or non-DBE:	ns, complete the required information below
Age of Firm:		
Annual Gross Rece	eipts (select one):	
Less than \$1 M \$1 Million - \$		☐ \$15 Million – \$17.4 Million ☐ \$17.4 Million +

A COPY OF THE FIRMS DBE CERTIFICATION MUST BE PROVIDED TO QUALIFY AS A DBE.

For vendors located within the Southern California region, certification must be from one of the agencies listed below.

CALIFORNIA DEPARTMENT OF TRANSPORTATION (CALTRANS)

Civil Rights MS 79, 1823 14th Street ,Sacramento, CA 95814

Phone: (916) 324-1700 or (866) 810-6346, Fax: (916) 324-1862, website: caltrans.ca.gov

CITY OF LOS ANGELES

Office of Contract Compliance, Centralized Certification 1149 S. Broadway Street, Suite 300, Los Angeles, CA 90015 Phone:(213) 847-6480, Fax: (213) 847-5566, website: bca.lacity.org

LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY (METRO)

Diversity and Economic Opportunity Department One Gateway Plaza, Los Angeles, CA 90012

Phone: (213) 922-2600, Fax: (213) 922-7660, website: mta.net

If you believe you qualify as a DBE but are not certified, you may want to contact one of the certifying agencies listed at http://californiaucp.org/ to initiate the certification process.

SECTION 4. COMMODITY CODE

Check all boxes of the commodity codes that apply to your company's particular areas of expertise.

General Goods & Services

~	NIGP	DESCRIPTION	~	NIGP	DESCRIPTION	/	NIGP	DESCRIPTION
	60001	Painters		60204	Telecommunications		60720	Paper, Fine
	60007	Electrical		60233	Appliances		60730	Trophies & Awards
	60008	Plumbing		60400	Audio Visual Equipment		60863	Temporary Staffing
	60009	Small General Contractors		60401	Audio Visual Supplies		60875	Registrations (Training/Seminars)
	60016	Security Systems		60402	Video Equipment		61000	Office Supplies
	60017	H V A C Contractors		60545	Moving & Storage		90640	Graphic Design Services
	60030	Sound Systems & Electronics		60637	Lease – Equipment		90640.1	Image Setting
	60102	Postage & Courier Services		60637.1	Lease – Building		90640.2	Premium/Promotional Items
	60102.1	Postage Machines		60638	Maintenance Agreement		96600	Printing & Related Services
	60104	Memberships(Professional)		60640	Copiers/Mimeo/Dupl.		96115	Catering & Concessions
	60105	Subscriptions (Periodicals)		60670	Furniture – Office		96115.1	Coffee & Tea Services
	60200	Computer Hardware		60700	Typewriters & Supplies		96115.2	Bottled Water
	60201	Computer Software		60701	Office Machines		96618	Copying/Reproduction Services
	60202	Computer Supplies		60702	Office Machine Supplies		91528	Mailing Services & Electronic Info.
	60203	Computer Services		60710	Stationary Supplies			
	Other							

Professional/Consulting Services

	1 Totessionaroonsuiting Services							
~	NIGP	DESCRIPTION	~	NIGP	DESCRIPTION	1	NIGP	DESCRIPTION
	60012	Architects, Engineer		91840	Employee Benefits Consulting		91892.1	Growth Visioning Planning
	90868	Project Management		91843	Environmental Consulting		91893	Security/Safety Consulting
	91804	Accounting/Auditing/Budgeting		91846	Feasibility Studies		91894	Traffic Consulting
	91804.1	Organizational, Financial, & Performance		91849	Finance/Economic Consulting		91895	Telecommunications Consulting
		Audits/Project Management Services		91858	Government Consulting		91896	Transportation Planning Consulting
	91806	Administrative Consulting		91858.1	Government Relations		91896.1	Highway Corridor Analysis
	91806.1	Administrative Services		91858.2	Institutional Analysis		91896.2	Rail Planning & Analysis
	91812	Modeling-Analytical Studies & Surveys		91863	Housing Consulting		91896.3	Transit & Non-motorized Planning & Analysis
	91812.1	Survey and Data Collection		91865	Human Relations Consulting			
	91812.2	Travel Demand Model Improvement		91866	Human Resources Consulting		91896.4	Transportation Management & Coordination
	91812.3	Geographic Information System		91866.1	Executive Search			
	91812.4	Software Support for Studies & Surveys		91866.2	Insurance Broker Services		91896.5	Truck Lane Analysis/GoodsMovement
	91812.5	Regional Data Systems		91874	Legal Consulting		91896.6	Transportation Financing
	91817	Aviation Consulting		91874.1	Legislative Services		91896.7	Transportation & Economic Development
	91826	Communications: Public Relations Consulting		91874.2	Alternative Dispute Resolution		91896.8	Transportation Investment Analysis
	91828	Computer Hardware Consulting		91875	Management Consulting		91896.9	Transportation Modeling Support
	91828.1	Computer Service Center		91875.1	Organization & Staff Development		91897	Gas, Water, Electric Consulting
	91829	Computer Software Consulting		91876	Marketing Consulting		91897.1	Air Quality Planning & Modeling
	91829.1	Information Systems		91876.1	Social Economic Impact Analysis		91897.2	Water Supply Analysis
	91829.2	Unix Systems Support		91876.2	Social Justice/Equity Analysis		96175	Translation Services
	91829.3	Macintosh Computer Technical Support		91879	Minority & Small Business Consulting		91892	Urban Planning Consulting
	91837	Economy Analysis Consulting		91883	Organizational Development Consulting			
	91838	Education & Training Consulting		91885	Personnel/Employment Consulting			
	Other							

SECTION 5. FORM SUBMISSION

Mail or fax the completed Form W-9 and Attachment to Form W-9 to:

Ted DorjeeSouthern California Association of Governments (SCAG) 818 W. Seventh St., 12th Floor Los Angeles, CA 90017-3435

Tel: (213) 236-1938 Fax: (213) 236-1825

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